Your Professional Team:

Nicholas A. Flemming
CFA, CPA, M.Sc, FMA
Investment Advisor

As an accomplished advisor and former accountant, Nicholas works with professionals who require a premium level of expertise in tax, risk, and investment management. With over ten year's experience, he holds a Masters in Financial Science and Accountancy from the University of Notre Dame in Indiana, and is a Chartered Financial Analyst and Certified Public Accountant. An avid cyclist and skier, Nicholas has also worked for a prominent investment bank where his investment ideas were regularly published.

Kelly Rivard
LLB, CFP
Will and Estate Consultant

Kelly received her law degree from the University of Western Ontario in 1993 and was called to the bar in 1995. She practiced in the areas of litigation, family law and estate planning at a major law firm until joining a major trust company in 1996. Kelly joined RBC Dominion Securities in 2003 and provides guidance on both the tax and personal implications of your estate plan.

Barrington Grey
BA, CFP, CLU
Insurance Specialist

Barrington joined RBC Dominion Securities in 1993. He provides risk management services to clients who require specialized insurance advice.

Luke Searle
B.Comm, CFP
Financial Planning Specialist

An economics major from Mount Allison University, Luke provides comprehensive financial plans for clients who appreciate charting their financial course well in advance.

Professional Wealth Management for Accomplished Professionals

Have you reached a point in your professional life where a full service professional advisory team can add value to your financial situation? Are you trying to make sense of recent market events or wondering if there is a better way to rebuild and protect your wealth? Perhaps you should investigate whether our specialized professional practice is right for you.

Our practice is designed specifically to help accomplished professionals protect, manage, and grow their wealth in a tax efficient and strategic manner. However, since we have pledged to clients that our practice will never exceed 200 investment management mandates, not all potential relationships can be accepted. When fit and suitability can first be established, you are guaranteed to receive a level of service that is unique in the industry, and you will benefit from:

- Full service, customized investment management
- Complete financial planning services
- Investment cost analysis and insurance audit services
- Will, estate, and business succession planning and advice
- Pension planning, management and advice
- Opportunity and threat analysis for your personal situation
- Tax avoidance advice, tax planning, and charitable giving
- Trade execution and risk management/hedging services
- Access to an industry leading team of professionals
- Access to all major mutual fund companies and SRI mandates
- Access to global stock, bond, currency, and cash markets
- Access to our international network of traders and economists
- Access to our peer review panel for comparative analysis
- Access to leading proprietary and third party analyst research
- Discretionary investment management capability

If you are a professional and would like to schedule a private introduction at your place or ours, please contact:

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